

Item 1- Cover Page

Jonathan Wilds Smith
Smith Partners Wealth Management, LLC
128 East Fisher Ave
Greensboro, NC 27403
335 272 9488
March 1, 2022

This Brochure Supplement provides information about Jonathan Wilds Smith that supplements the Smith Partners Wealth Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Anne Flegal Smith Partner, CCO 336 272 9488 if you did not receive Smith Partners Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Item 2- Educational Background and Business Experience

Jonathan W. Smith was born in 1950 and received his B.A.S degree from Guilford College, Greensboro, NC in 1976. From January 1976 to July 1976, he was a Stockbroker with McDaniel Lewis & Co., and from July 1976 to February 1987, he was a Stockbroker with Independence Securities. From January 1981 to March 2011, he served as General Partner of Jonathan Smith Associates Limited Partnership. Jonathan obtained his FINRA Series 65 in May 2012. Since founding Smith Partners Wealth Management (formerly known as Jonathan Smith & Co. Investment Counsel, LLC) in October 1987 November 1988 he has served as Managing Partner, Financial Advisor, and consultant to the Chief Investment Strategist for Smith Partners Wealth Management, LLC.

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Jonathan has no information applicable to this Item.

Item 4- Other Business Activities

Jonathan has no other business activities other than his role with Smith Partners Wealth.

Item 5- Additional Compensation

Jonathan does not earn compensation for the sale of any other service or any investment product.

Item 6 - Supervision

Jonathan Smith as the managing partner at Smith Partners Wealth Management, LLC is accountable to Anne Flegal Smith Partner, CCO 336 272 9488

Designation Definitions

The Series 65 License

The NASAA Investment Advisers Law Examination — is a North American Securities Administrators Association (NASAA) exam administered by FINRA. The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, he/she must correctly answer at least 94 of the 130 scored questions. [For additional information about this exam, including the content outline, please visit the exams page on the FINRA Website.](#)

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Justin Winship Smith
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Item 2- Educational Background and Business Experience

Justin Smith, CFA®¹ CFP®² was born October 28, 1980 and received his B.S., Finance from North Carolina State University, Raleigh, N.C. in 2003. Graduating Magna Cum Laude, one of eight University Scholars in the College of Management, he has a minor in Economics.

Justin Smith, CFA® CFP® is a Charterholder with CFA Institute as a Chartered Financial Analyst as of August 2008 and also holds a Certified Financial Planner (CFP®) designation as of February 2010.

He was an Investment Research Intern with SPWM the summers of 2001 and 2002. He was a Trust Accountant with First Citizens Bank from June 2003 to June 2005. Since June 2005, he has been a Financial Advisor, from 2005 to 2009 he was Assistant to the Senior Portfolio Manager and since February 2009 he has been Chief Investment Strategist of SPWM.

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Justin has no information applicable to this Item.

Item 4- Other Business Activities

Justin has no other business activities other than his role with Smith Partners Wealth.

Item 5- Additional Compensation

Justin does not earn compensation for the sale of any other service or any investment product.

Item 6 - Supervision

Justin is directly supervised by Jonathan Wilds Smith 336 272 9488 Managing Partner and all compliance matters are supervised by Anne Flegal Smith Partner, CCO 336 272 9488

Designation Descriptions

Certified Financial Analyst - CFA®

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 138,000 CFA charterholders working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute

as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders—often making the charter a prerequisite for employment.

Additionally, regulatory bodies in over 30 countries and territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit www.cfainstitute.org.

Certified Financial Planner - CFP®

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical

requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

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Stephen Edward Boatman
Smith Partners Wealth Management, LLC
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Item 2- Educational Background and Business Experience

Stephen Boatman CFP®¹ CSLP®² was born in 1993 and received his B.S. in Business Administration with concentration in Finance and Banking from Appalachian State University in 2015. Stephen also completed an internship with Smith Partners Wealth Management, LLC in 2014 as a Client Service Associate. In August 2015, Stephen joined Smith Partners Wealth Management, LLC as Client Service Associate. In December 2015, he completed his Series 65. Stephen earned his CSLP – Certified Student Loan Professional designation April 27, 2020 and it is recertified annually. Stephen holds a CFP® Certified Financial Planner designation and is a financial planner for the firm as of April 2018.

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Stephen has no information applicable to this Item.

Item 4- Other Business Activities

Stephen has no other business activities other than his role with Smith Partners Wealth.

Item 5- Additional Compensation

Stephen does not earn compensation for the sale of any other service or any investment product.

Item 6 - Supervision

Stephen Boatman is directly supervised by Justin W. Smith CFP, CFA, 336 272 9488 and all compliance matters are supervised by Anne Flegel Smith Partner, CCO 336 272 9488

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CSLP – Certified Student Loan Professional

The CSLP® designation is intended for financial professionals currently providing personal financial advisement, planning, investments, and tax related services. All candidates are subject to a review and verification of their existing credentials and experience. Additional requirements:

- Experience including the supervision, direct support, teaching, or personal delivery of part or all of the personal financial planning process to a client.

For CFPs there are 13 CE credits if the course is completed within the initial term of 6 months. For most CPAs the CSLP certificate program will provide 60 hours of CPE credits for the 4 units college credit earned. There is no additional fee for this credit.

Topics are arranged over 4 primary courses currently containing approximately 46 presentation sections. Additionally, student loan advising case studies illustrate a variety of situations with multiple loan types and financial situations. The program also addresses laws and the qualifications for loan program participation. Each repayment plan (current and those no longer offered but that may be active with clients) is covered in detail to illustrate features, risks, and common concerns that may be applicable to clients.

The first year CSLP exam is a 2.5 hour, remotely proctored exam consisting of 90 multiple choice questions on the program material. A 70% passing grade is required.

The annual renewal exam is a non-proctored, open book exam.

<https://cslainstitute.org/the-cslp-program-content/>
